



10/791.490

DAC-
JRW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re United States Letters) Group Art Unit: 3746
Patent No.: 6,971,242) Examiner: Ehud Gartenberg
Granted: December 5, 2005)
Inventor: GREGORY A. BOARDMAN)
Title: BURNER FOR A GAS TURBINE)
ENGINE)
Attorney Docket No.: 02-691)

Peoria, Illinois 61629-6490
May 3, 2006

Attention Certificate of Corrections Branch
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

05/09/2006 TBESHAI1 00000037 031129 6971242
02 FC:1811 100.00 DA

REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323

Sir:

On May 3, 2006, a Petition Request Under 37 CFR 3.81(b) was submitted to the Petitions Branch requesting a petition be granted to correct the Assignee designation on the cover page of granted patent 6,971,242. Due to an inadvertent error when Applicant submitted form PTOL-85B on September 7, 2005, Applicant included the Name and Residence of Assignee (Items 3 A and B) as Caterpillar Inc., Peoria, IL wherein fact the Name and Residence of Assignee should be Solar Turbines Incorporated, San Diego, CA.

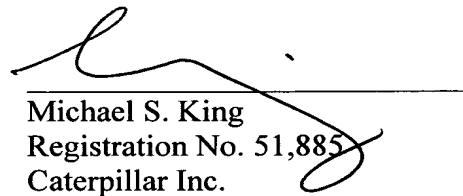
Additionally, Applicant failed to include on form PTOL-85B the names of the registered patent attorneys or agents (Item 2(1)) and Applicant hereby requests that information be included as well.

Upon grant of said Petition, the Petitions Branch was requested to forward the
~~05/09/2006 TBESHAI1 00000037 031129 6971242~~
REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323 to the
~~02 FC:1811 100.00 DA~~
Certificate of Corrections Branch.

This is to request the issuance of a Certificate of Correction under 35 U.S.C. 255. Please charge the required \$100.00 fee to Deposit Account 03-1129.

Transmitted herewith are two copies of PTO-1050 containing the text of the desired corrections.

Respectfully submitted,


Michael S. King
Registration No. 51,885
Caterpillar Inc.

Telephone: (309) 675-4363
MSK:vlm
Enc.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

United States Letters

) Group Art Unit: 3746
)
Patent No.: 6,971,242) Examiner: Ehud Gartenberg
)
Granted: December 5, 2005)
)
Inventor: GREGORY A. BOARDMAN)
)
Title: BURNER FOR A GAS TURBINE)
ENGINE)
)
Attorney Docket No.: 02-691)

Peoria, Illinois 61629-6490
May 3, 2006

Mail Stop Petitions
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

PETITION REQUEST UNDER 37 CFR 3.81(b)

Sir:

On September 7, 2005, Applicant submitted form PTOL-85B with payment of the Issue Fee. Applicant inadvertently failed to include on form PTOL-85B the names of the registered patent attorneys or agents (Item 2 (1)), and Applicant also failed to include the correct Name of Assignee (Item 3 A) and Residence (Item 3 B).

Applicant is requesting to add the name of Keith P. Roberson under Item 2 (1).

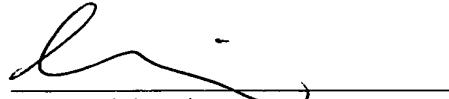
Additionally, by this PETITION REQUEST UNDER 37 CFR 3.81(b),

Applicant is requesting to correct the Name of Assignee under Item 3 A to reflect the Assignee of Record (Solar Turbines Incorporated) as noted on the enclosed copy of the Notice of Recordation of Assignment Document, Reel and Frame 015052/0531, recorded on March 2, 2004, and Assignee's Residence under Item 3B (San Diego, CA).
05/09/2006 TBESHAWI 00000037 6971242

It is respectfully requested that the Petition be granted and that the enclosed REQUEST FOR CERTIFICATE OF CORRECTION UNDER 37 CFR 1.323 be forwarded to the Certificates of Correction Branch.

Enclosed herewith are two copies of a fee transmittal sheet to provide for the appropriate fee of \$130.00 for the Petition Request.

Respectfully submitted,



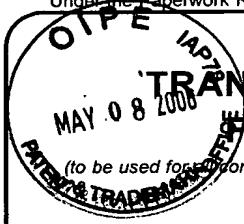
Michael S. King
Registration No. 51,885
Caterpillar Inc.

Telephone: (309) 675-4363

MSK:vlm

Enc.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.


**TRANSMITTAL
FORM**

(to be used for correspondence after initial filing)

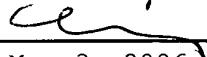
		Application Number	10/791,490
		Filing Date	03/2/2004
		First Named Inventor	Gregory A. Boardman
		Art Unit	3746
		Examiner Name	Ehud Gartenberg
Total Number of Pages in This Submission		Attorney Docket Number	02-691

ENCLOSURES (Check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input checked="" type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input checked="" type="checkbox"/> Other Enclosures(s) (please Identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	Petition Request Under 37 CFR 3.81(b)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Documents	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

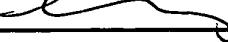
Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael S. King, Registration No. 51,885
Signature	
Date	May 3, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to:
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: 05/03/2006

Typed or printed name	Michael S. King
Signature	
	Date 5-3-06

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



FEE TRANSMITTAL for FY 2003

Effective 10/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	10/791,490
Filing Date	03/2/2004
First Named Inventor	Gregory A. Boardman
Examiner Name	Ehud Gartenberg
Art Unit	3746
Attorney Docket No.	02-691

METHOD OF PAYMENT (check all that apply)
 Check Credit Card Money Order Other None

 Deposit Account

Deposit

Account Number

Deposit Account

Name

The Director is authorized to: (check all that apply)

 Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) or any underpayment of fee(s)
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 790	2001 385	Utility filing fee	
1002 350	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 790	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	20	Extra Claims	Fee from below	Fee Paid
Total Claims	20	-20** =	0	0
Independent Claims	3	- 3** =	0	0
Multiple Dependent	3			
Large Entity	Small Entity			
Fee Code (\$)	Fee Code (\$)	Fee Description		
1202 18	2002 9	Claims in excess of 20		
1201 88	2001 43	Independent claims in excess of 3		
1203 290	2203 145	Multiple dependent claim, if not paid		
1204 88	2204 43	**Reissue independent claims over original patent		
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$)			0	

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 210	Extension for reply within second month	
1253 980	2253 475	Extension for reply within third month	
1254 1,530	2254 740	Extension for reply within fourth month	
1255 2,080	2255 1,005	Extension for reply within fifth month	
1401 340	2401 165	Notice of Appeal	
1402 340	2402 165	Filing a brief in support of an appeal	
1403 300	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,370	2453 665	Petition to revive - unintentional	
1501 1,370	2501 665	Utility issue fee (or reissue)	
1502 490	2502 240	Design issue fee	
1503 660	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	130
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	
Other fee (specify) _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3) (\$)			130

SUBTOTAL (3) (\$)

130

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Michael S. King	Registration No. (Attorney/Agent)	51,885	Telephone	(309) 675-4363
Signature				Date	05/03/2006

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: 6,971,242

DATED : December 5, 2005

INVENTOR(S) : GREGORY A. BOARDMAN

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page of the granted patent, please make the following corrections:

For Assignee: please delete "Caterpillar Inc., Peoria, IL (US)" and insert the following: -- Solar Turbines Incorporated, San Diego, CA (US) --

Please add the following name regarding Attorney, Agent, or Firm: Keith P. Roberson

MAILING ADDRESS OF SENDER:

Caterpillar Inc.
100 NE Adams St.
Peoria, IL 61629-6490

PATENT NO. 6,971,242

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION****PATENT NO.:** 6,971,242**DATED :** December 5, 2005**INVENTOR(S) :** GREGORY A. BOARDMAN

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page of the granted patent, please make the following corrections:

For Assignee: please delete "Caterpillar Inc., Peoria, IL (US)" and insert the following: -- Solar Turbines Incorporated, San Diego, CA (US) --

Please add the following name regarding Attorney, Agent, or Firm: Keith P. Roberson

MAILING ADDRESS OF SENDER:

Caterpillar Inc.
100 NE Adams St.
Peoria, IL 61629-6490

PATENT NO. 6,971,242**No. of additional copies**

This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



SLM / KAU

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 30, 2004

PTAS



102692372A

CATERPILLAR INC. RHH
KEITH P. ROBERSON
INTELLECTUAL PROPERTY DEPT. AB6490
100 N. E. ADAMS STREET
PEORIA, IL 61629-6490

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/02/2004

REEL/FRAME: 015052/0531
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BOARDMAN, GREGORY A.

DOC DATE: 02/11/2004

ASSIGNEE:
SOLAR TURBINES INCORPORATED
200 PACIFIC HIGHWAY
SAN DIEGO, CALIFORNIA 92186-5376

SERIAL NUMBER: 10791490
PATENT NUMBER:
TITLE: BURNER FOR A GAS TURBINE ENGINE

FILING DATE: 03/02/2004
ISSUE DATE:

RECORDED
INTELLIGIBLY

SEP 09 2004

CATERPILLAR INC.

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

03-12-2004

22278 U.S.P.T.O.
10/791490

030204

Form PTO-1595 (Rev. 10/02) OMB No. 0651-0027 (exp. 6/30/2005) Tab settings → → →		REC 102692372 PATENTS ONLY	U.S. DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.			
1. Name of conveying party(ies): Gregory A. Boardman		2. Name and address of receiving party(ies): Name: Solar Turbines Incorporated Internal Address: 200 Pacific Highway San Diego, CA 92186-5376	
Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____		4. Application number(s) or patent number(s): 10791490 If this document is being filed together with a new application, the execution date of the application is: 02/11/2004	
Execution Date: 02/11/2004		Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: Keith P. Roberson Caterpillar Inc. Intellectual Property Department, AB6490 100 N. E. Adams Street Peoria IL 61629-6490		6. Total number of applications and patents involved: 1 7. Total fee (37 CFR 3.41) \$ 40.00 <input type="checkbox"/> Enclosed <input checked="" type="checkbox"/> Authorized to be charged to deposit account	
		8. Deposit account number: 03-1129	
DO NOT USE THIS SPACE			
9. Signature. 03/11/2004 GTUN11 00000084 031129 10791490 01 FC:8021 40.00 DA Keith P. Roberson Name of Person Signing		Docket Number: 02-691  Signature 03/02/2004 Date	
Total number of pages including cover sheet, attachments, and documents: 01			

Mail documents to be recorded with cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

ASSIGNMENT

WHEREAS, Gregory A. Boardman, residing at Columbus, Indiana, hereinafter referred to as ASSIGNOR, has invented a new and useful

BURNER FOR A GAS TURBINE ENGINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-11-04; and

WHEREAS, Solar Turbines Incorporated, a Delaware corporation having a place of business at San Diego, California, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Solar Turbines Incorporated

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.



GREGORY A. BOARDMAN

2/11/04

Date

IN WITNESS OF the execution hereof by GREGORY A. BOARDMAN we have hereunto set our hands.

Tim Tse 2/11/04

Witness (1) Date

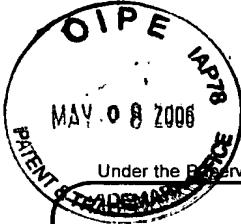
Jeffrey 2/11/04

Witness (2) Date

Address: 4276 Recce Lane
Columbus, IN

47201

Address: 3920 Briar Hill Way
Columbus, IN 47203



MAY 08 2006

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/791,490
Filing Date	03/2/2004
First Named Inventor	Gregory A. Boardman
Art Unit	3746
Examiner Name	Ehud Gartenberg
Attorney Docket Number	02-691

ENCLOSURES (Check all that apply)

- Fee Transmittal Form
 - Fee Attached
- Amendment/Reply
 - After Final
 - Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Documents
- Response to Missing Parts/ Incomplete Application
 - Response to Missing Parts under 37 CFR 1.52 or 1.53

- Assignment Papers (for an Application)
- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert to a Provisional Application
- Power of Attorney, Revocation
- Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance communication to Technology Center (TC)
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- Proprietary Information
- Status Letter
- Other Enclosures(s) (please identify below):

Request for Certificate of Correction

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael S. King, Registration No. 51,885
Signature	
Date	May 3, 2006

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope address to:
Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this date: 05/03/2006

Typed or printed name	Michael S. King
Signature	

Date

05.03.06

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 37 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



SM / KAU

UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

AUGUST 30, 2004

PTAS



CATERPILLAR INC. *RHH*
KEITH P. ROBERSON
INTELLECTUAL PROPERTY DEPT. AB6490
100 N. E. ADAMS STREET
PEORIA, IL 61629-6490

102692372A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/02/2004

REEL/FRAME: 015052/0531

NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
BOARDMAN, GREGORY A.

DOC DATE: 02/11/2004

ASSIGNEE:
SOLAR TURBINES INCORPORATED
200 PACIFIC HIGHWAY
SAN DIEGO, CALIFORNIA 92186-5376

SERIAL NUMBER: 10791490
PATENT NUMBER:
TITLE: BURNER FOR A GAS TURBINE ENGINE

FILING DATE: 03/02/2004
ISSUE DATE:

PTAB
EFT
SPT
GPO

MARGARET LASALLE, PARALEGAL
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

BEST AVAILABLE COPY

03-12-2004

22278 U.S.P.T.O.
10/791490030204
030204Form PTO-1595
(Rev. 10/02)

REC

OMB No. 0651-0027 (exp. 6/30/2005)

Tab settings 102692372
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gregory A. Boardman

3-2-04

2. Name and address of receiving party(ies):

Name:
Solar Turbines Incorporated
Internal Address:
200 Pacific Highway
San Diego, CA 92186-5376Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

 Assignment Merger Security Agreement Change of Name Other _____

02/11/2004

Execution Date:

Additional name(s) & address(es) attached? Yes No

4. Application number(s) or patent number(s):

10791490

If this document is being filed together with a new application, the execution date of the application is: 02/11/2004

A. Patent Application No.(s) _____

B. Patent No.(s) _____

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Keith P. Roberson
Caterpillar Inc.
Intellectual Property Department, AB6490
100 N. E. Adams Street
Peoria IL 61629-64906. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

 Enclosed Authorized to be charged to deposit account

8. Deposit account number:

03-1129

DO NOT USE THIS SPACE

9. Signature.

Docket Number: 02-691

03/11/2004 GT0N11 00000084 031129 10791490

01 FC:8021

40.00 DA

Keith P. Roberson

Name of Person Signing

03/02/2004

Date

Total number of pages including cover sheet, attachments, and documents: 01

Mail documents to be recorded with cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

BEST AVAILABLE COPY

ASSIGNMENT

WHEREAS, Gregory A. Boardman, residing at Columbus, Indiana, hereinafter referred to as ASSIGNOR, has invented a new and useful

BURNER FOR A GAS TURBINE ENGINE

for which ASSIGNOR is about to file an international application and/or make application for Letters Patent in the United States of America, such invention being described and identified by said application having an attached International Application Request and/or an attached Declaration and Power of Attorney executed by ASSIGNOR on 2-11-04; and

WHEREAS, Solar Turbines Incorporated, a Delaware corporation having a place of business at San Diego, California, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR;

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Solar Turbines Incorporated

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.



GREGORY A. BOARDMAN

2/11/04
Date

IN WITNESS OF the execution hereof by GREGORY A. BOARDMAN we have hereunto set our hands.

Tir Tir 2/11/04
Witness (1) Date

Address: 4276 Recce Lane
Columbus, IN
47201

J J 2/11/04
Witness (2) Date

Address: 3920 Briar Hill Way
Columbus, IN 47203

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: 6,971,242

DATED : December 5, 2005

INVENTOR(S) : GREGORY A. BOARDMAN

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page of the granted patent, please make the following corrections:

For Assignee: please delete "Caterpillar Inc., Peoria, IL (US)" and insert the following: -- Solar Turbines Incorporated, San Diego, CA (US) --

Please add the following name regarding Attorney, Agent, or Firm: Keith P. Roberson

MAILING ADDRESS OF SENDER:

Caterpillar Inc.
100 NE Adams St.
Peoria, IL 61629-6490

PATENT NO. 6,971,242

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**

PATENT NO.: 6,971,242

DATED : December 5, 2005

INVENTOR(S) : GREGORY A. BOARDMAN

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover page of the granted patent, please make the following corrections:

For Assignee: please delete "Caterpillar Inc., Peoria, IL (US)" and insert the following: -- Solar Turbines Incorporated, San Diego, CA (US) --

Please add the following name regarding Attorney, Agent, or Firm: Keith P. Roberson

MAILING ADDRESS OF SENDER:

Caterpillar Inc.
100 NE Adams St.
Peoria, IL 61629-6490

PATENT NO. 6,971,242

No. of additional copies



This collection of information is required by 37 CFR 1.322, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.